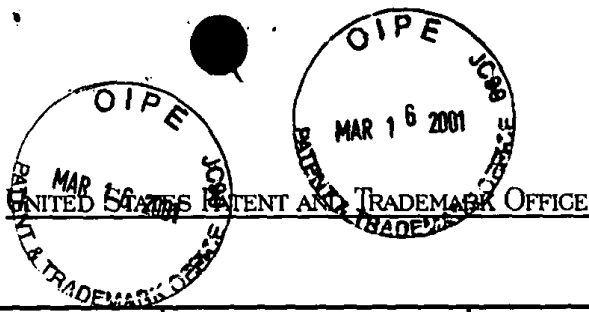


#3



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/612,314	07/07/2000	Richard Anthony Godwin Smith	088362/0114

Foley & Lardner
3000 K Street N W Suite 500
Washington, DC 20007-5109

FORMALITIES LETTER



OC000000005681414

Date Mailed: 01/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$432.
 - \$432 for 24 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1252.

A copy of this notice MUST be returned with the reply.

J. East

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/19/2001 JADD01 00000081 09612314 355.00 216.00 65.00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001		<i>Complete if Known</i>	
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block; text-align: center;"> MAR 16 2001 </div> <p style="font-size: small;">Patent fees are subject to annual revision.</p>		Application Number 09/612,314	
		Filing Date July 7, 2000	
		First Named Inventor Richard Anthony Godwin SMITH et al.	
		Examiner Name Unassigned	
		Group / Art Unit 1644	
TOTAL AMOUNT OF PAYMENT (\$ 636)		Attorney Docket No. 37945-0004	

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																	
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number 08-1641</p> <p>Deposit Account Name</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>65</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				<i>Complete (if applicable)</i>	
Name (Print/Type)	John P. Isacson	Registration No. Attorney/Agent)	33,715	Telephone	(202) 263-8973
Signature				Date	March 16, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5

Attorney Docket No.: 37945-0004

Applicant: Richard Anthony Godwin SMITH *et al.*

Appl. No.: 09/612,314

Examiner: Unassigned

Filing Date: July 7, 2000

Art Unit: 1644

Title: CONJUGATES OF SOLUBLE PEPTIDIC COMPOUNDS WITH MEMBRANE-BINDING AGENTS

**TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION**

Assistant Commissioner for Patents
Washington, D.C. 20231
ATTN: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on January 16, 2001, in the captioned application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:


- ☒ [X] Copy of Declaration and Power of Attorney
- ☒ [X] Copy of Notice to File Missing Parts (Part 2)
- ☒ [X] Applicant claims small entity status (37 CFR §1.27)
- ☒ [X] Change of Address
- ☒ [X] Check in the amount of \$636.00 in payment of the \$355.00 Basic filing fee, \$216.00 Additional claims fee, \$65.00 surcharge fee (37 C.F.R. §1.16(e))

The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 08-1641. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 08-1641.

Respectfully submitted,

Date: March 16, 2001

By


John P. Isacson
Attorney for Applicant
Reg. No.: 33,715

HELLER EHRMAN WHITE & MCAULIFFE
815 Connecticut Avenue, NW, Suite 200
Washington, D.C. 20006
Telephone: (202) 263-8900
Facsimile: (202) 785-8877



26633

PATENT TRADEMARK OFFICE